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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,738	04/17/2001	Steven Bristow	22962-7005

Please note that the sticker on our postcard indicates an application number of 09/837,736.

McCutchen, Doyle  
Brown & Enersen, LLP  
28th Floor  
Three Embarcadero Center  
San Francisco, CA 94111

Accordingly, we have requested correction.

Roger S. Sanyal

CONFIRMATION NO. 9746

FORMALITIES LETTER



\*OC000000006169867\*

Date Mailed: 06/11/2001

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## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 08/13/2002 NHASSANI  
08/28/2001 SSANDARA 00000021 501193 09837736  
01 FC:205 65.00 CR

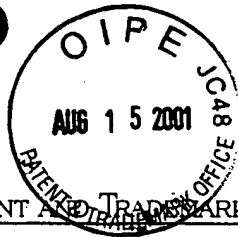
08/13/2002 NHASSANI 00000015 501193 09837738

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Page 1 of 4  
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APPLICATION-NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/837,738	04/17/2001	2661	1275	22962-7005	6	100	8

~~09/837,736~~ 4/16/2001  
09/837,738

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Applicant(s)

Steven Bristow, San Francisco, CA;  
Richard Bentley, San Francisco, CA;  
Bruce Durham, Sunnyvale, CA;  
Gregory Delory, San Francisco, CA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/198,112 04/17/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/11/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Docket: 22962-7005  
Action: State  
Date Due: 10/17/02  
Critical Date:  
Docketed By: RJB  
Attorney App:  
Reg. Fee:

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Date: 06/11/2001

**Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):**

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

Date: **8/10/2001**Name of Person Certifying: \_\_\_\_\_  
Printed Name: \_\_\_\_\_

Caroline Pfahl

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Bristow, et al.

Assignee: Not Yet Assigned

Filing Date: April 17, 2001

Examiner: Not Yet Assigned

Serial No.: ~~09/837,736~~ **09/837,738**

Group Art Unit: 2661

Title: **SOFTWARE AND PROTOCOL STRUCTURE FOR AN AUTOMATED USER NOTIFICATION SYSTEM**

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**Submission of Missing Parts of Application Under 37 C.F.R. 1.53**

Sir:

In response to the Notice of Missing Parts of Application mailed on June 11, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [2] pages  
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (\_\_\_ months), [ ] page(s) Fee \$ \_\_\_\_\_
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice of Missing Parts of Application dated June 11, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.
- ☐ Other: \_\_\_\_\_

**TOTAL FEES: \$ 65.00**

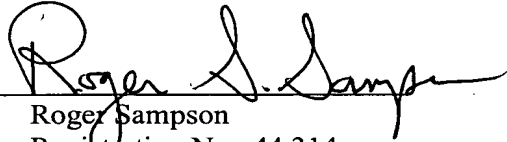
- ☐ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.

- ☐ Check in the amount of \$ \_\_\_\_\_, Check No. \_\_\_\_\_ to cover the Total Fees due is enclosed.
- ☒ Please charge the above fee(s) to Deposit Account No. 50-1193, Docket No. 22962-7005, in the amount of \$65.00 to cover the above fees.  
*A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193, Docket No. 22962-7005.  
*A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: August 10, 2001

Respectfully submitted,

By:   
Roger Sampson  
Registration No. 44,314

McCutchen, Doyle, Brown & Enersen, LLP  
Three Embarcadero Center, Suite 1800  
San Francisco, California 94111  
Telephone: (415) 393-2000  
Telefax: (415) 393-2286



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Docket No. 22962-7005

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

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Dated: August 10, 2001

Name of Person Certifying: *Caroline Pfahl*  
Printed Name: Caroline Pfahl

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: BRISTOW, et al. Assignee: Not Yet Assigned  
Filing Date: April 17, 2001 Examiner: Not Yet Assigned  
Serial No.: ~~09/837,736~~ 09/837,738 Group Art Unit: 2661  
Title: SOFTWARE AND PROTOCOL STRUCTURE FOR AN AUTOMATED  
USER NOTIFICATION SYSTEM

Applications Processing Division  
Customer Correction Branch  
Commissioner for Patents  
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant respectfully requests that the Official Filing Receipt (PTO form 103P) for the above-identified patent application, a copy of which is attached with the correction marked in red ink, be corrected. The Filing Receipt contains an error in the Application Number & Filing Date.

Please correct the Filing Receipt to read as follows:

Patent Appln. #: 09/837,736  
Filing Date: 04/16/2001

- ☒ The correction is not due to any error by applicant and no fee is due. If for any reason a fee is found to be necessary, our Deposit Account No. 50-1193 may be charged therefore. *A duplicate copy of this sheet is enclosed.*
- ☐ At least one of the above corrections is due to applicant's error and the fee under 37 CFR §1.19(h) of \$25.00 is paid as follows:
- ☐ Enclosed is Check No. \_\_\_\_\_ for \$25.00.
- ☐ Please charge Deposit Account No. \_\_\_\_\_, in the amount of \$ \_\_\_\_\_ to cover the above-fees. *A duplicate copy of this sheet is enclosed.*

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☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1193, *A duplicate copy of this sheet is enclosed.*

DATE: August 10, 2001

Respectfully submitted,

By: 

Roger Sampson

Registration No.: 44,314

McCutchen, Doyle, Brown & Enersen, LLP  
Three Embarcadero Center, Suite 1800  
San Francisco, California 94111  
Telephone: (415) 393-2000  
Telefax: (415) 393-2286



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
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ROGER SAMPSON

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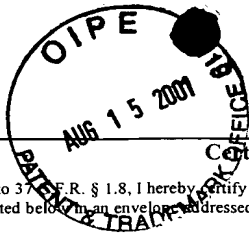
Serial/Patent No.: Not Yet Assigned Filing/Issue Date: April 16, 2001  
Client: TelEvoke, Inc.  
Title: SOFTWARE AND PROTOCOL STRUCTURE FOR AN AUTOMATED USER  
NOTIFICATION SYSTEM  
Docket No.: 22962-7005 Atty/Secty Initials: RSS/cmp  
Date Mailed: April 16, 2001 Docket Due Date: \_\_\_\_\_

*The Following Was Received In The U.S. Patent And Trademark Office On The Date Stamped Hereon:*

1. Utility Patent Application & Fee Transmittal Sheet (in duplicate)
2. Utility Patent Application (41 pgs. c/cover & abstract)
3. Declaration (unsigned)
4. Drawings: 16 sheets Informal
5. Applicants Claim Small Entity
6. Certificate of Express Mail
7. Express Mail No. EL710236861US

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Docket No. 22962-7005

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Dated: August 10, 2001	Name of Person Certifying: <u>Caroline Pfahl</u> Printed Name: Caroline Pfahl

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	BRISTOW, et al.	Assignee:	Not Yet Assigned
Filing Date:	April 17, 2001	Examiner:	Not Yet Assigned
Serial No.:	09/837,736 S	Group Art Unit:	2661
Title:	<b>SOFTWARE AND PROTOCOL STRUCTURE FOR AN AUTOMATED USER NOTIFICATION SYSTEM</b>		

Applications Processing Division  
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DATE: August 10, 2001

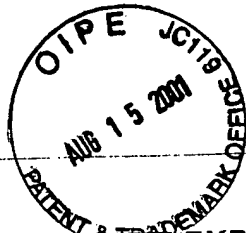
Respectfully submitted,

By: \_\_\_\_\_

Roger Sampson

Registration No.: 44,314

McCutchen, Doyle, Brown & Enersen, LLP  
Three Embarcadero Center, Suite 1800  
San Francisco, California 94111  
Telephone: (415) 393-2000  
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<b>Total:</b>			<b>\$0.00</b>
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Bill#: 1000600209463 Clerk: 05			
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Serial/Patent No.: Not Yet Assigned Filing/Issue Date: April 16, 2001  
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